

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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	: Chapter 11
In re:	:
	: Case No. 08-13555 (SCC)
LEHMAN BROTHERS HOLDINGS, INC., <i>et al.</i>	:
	: (Jointly Administered)
Debtors.	:
	: Ref. Docket Nos. 54115, 54116,
	: 54117, 54350, 54351, 54352,
	: 54353, 54405, 54406, 54407,
	: 54408, 54415, 54416, 54417,
	: 54418, 54419, 54421
	:
	:
	:
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**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

KONSTANTINA HAIDOPOULOS, being duly sworn, deposes and says:

1. I am employed as a Senior Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On January 9, 2017, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated January 9, 2017, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Konstantina Haidopoulos  
Konstantina Haidopoulos

Sworn to before me this  
10<sup>th</sup> day of January, 2017

/s/ Elli Krempa

Notary Public, State of New York  
No. 01KR6175879  
Qualified in Suffolk County  
Commission Expires October 22, 2019

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAR(23) MAILID \*\*\* 000114819798 \*\*\* LBH TRFNTC (ADDRESS2, ADRKEYID3) 22479



BANK HAPOALIM (SWITZERLAND), LTD.  
PAUL WEISS, RIFKIND, WHARTON & GARRISON LLP  
ATTN: DOUGLAS R. DAVIS  
1285 AVENUE OF THE AMERICAS  
NEW YORK, NY 10019

BANK HAPOALIM (SWITZERLAND), LTD.  
ATTN: BRIGITTE FOTSCH & RUDOLF BRUNNER  
STOCKERSTRASSE 33  
ZURICH CH-8002  
SWITZERLAND

Please note that your claim # 55855-95 in the above referenced case and in the amount of  
\$100,000.00 allowed at \$102,065.00 has been transferred (unless previously expunged by court order)

DEUTSCHE BANK AG, LONDON BRANCH  
TRANSFEROR: BANK HAPOALIM (SWITZERLAND), LTD.  
ATTN: MICHAEL SUTTON  
WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET  
LONDON EC2N 2DB  
UNITED KINGDOM

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 54115 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 01/09/2017

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on January 9, 2017.

## **EXHIBIT B**

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[illegible]

**Claim Name**

### **Address Information**

[illegible]

**SERVICE LIST**  
Pg 8 Of 14

[illegible]



Claim Name	Address Information
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: GOLDMAN SACHS & CO., C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: GOLDMAN SACHS & CO., C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: GOLDMAN SACHS & CO., C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: GOLDMAN SACHS & CO., C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
CITIBANK PRIVATKUNDEN AG & CO. KGAA	ATTN: WILHELM HUELSKEN, KASERNENSTRASSE 10, DUSSELDORF 40213 GERMANY
CITIBANK PRIVATKUNDEN AG & CO. KGAA	PAUL,WEISS,RIFKIND,WHARTON & GARRISON LLP, ATTN: DOUGLAS R. DAVIS, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019-6064
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: BANK HAPOALIM (SWITZERLAND), LTD., ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: BANK HAPOALIM (SWITZERLAND), LTD., ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: BANK HAPOALIM (SWITZERLAND), LTD., ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
FALCON PRIVATE BANK LTD, AS AGENT FOR ITS CUSTOMERS	PELIKANSTRASSE 37, PO BOX 1376, ZURICH 8021 SWITZERLAND
FALCON PRIVATE BANK LTD, AS AGENT FOR ITS CUSTOMERS	SHELLENBERG WITTMER, ATTENTION: PHILIPPE BORENS, ESQ., AND BENNO STRUB, ESQ., LOWENSTRASSE 19, P.O. BOX 1876, ZURICH 8021 SWITZERLAND
FIBI BANK (SWITZERLAND) LTD	SEESTRASSE 61, ZURICH CH-8027 SWITZERLAND
GOLDMAN SACHS & CO.	TRANSFEROR: ELLIOTT ASSOCIATES, L.P., ATTN: MICHELLE LATZONI, 30 HUDSON STREET, 5TH FLOOR, JERSEY CITY, NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: ELLIOTT INTERNATIONAL, L.P., ATTN: MICHELLE LATZONI, 30 HUDSON STREET, 5TH FLOOR, JERSEY CITY, NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: SILVER POINT CAPITAL FUND, LP, ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW YORK, NY 10282
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GOLDMAN SACHS & CO.	TRANSFEROR: ELLIOTT ASSOCIATES, L.P., ATTN: MICHELLE LATZONI, 30 HUDSON

Claim Name	Address Information
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GOLDMAN SACHS & CO.	TRANSFEROR: ELLIOTT INTERNATIONAL, L.P., ATTN: MICHELLE LATZONI, 30 HUDSON STREET, 5TH FLOOR, JERSEY CITY, NJ 07302
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GOLDMAN SACHS & CO.	TRANSFEROR: LIQUIDATION OPPORTUNITIES MASTER FUND, L.P., ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW YORK, NY 10282
GOLDMAN SACHS & CO.	TRANSFEROR: ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND LP, ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW YORK, NY 10282
GOLDMAN SACHS & CO.	TRANSFEROR: TURNPIKE LIMITED, ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW YORK, NY 10282

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GOLDMAN SACHS & CO.	TRANSFEROR: OFFSHORE ASSET HOLDING VEHICLE A, LTD, ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW YORK, NY 10282
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GOLDMAN SACHS & CO.	TRANSFEROR: TURNPIKE LIMITED, ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW YORK, NY 10282
GOLDMAN SACHS & CO.	TRANSFEROR: ALDEN GLOBAL VALUE RECOVERY MASTER FUND LP, ATTN: MICHELLE

Claim Name	Address Information
GOLDMAN SACHS & CO.	LATZONI, 200 WEST STREET, NEW YORK, NY 10282
GOLDMAN SACHS & CO.	TRANSFEROR: SILVER POINT CAPITAL OFFSHORE MASTER FUND, LP, ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW YORK, NY 10282
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GOLDMAN SACHS & CO.	TRANSFEROR: TURNPIKE LIMITED, ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW YORK, NY 10282
GOLDMAN SACHS & CO.	TRANSFEROR: ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P., ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW YORK, NY 10282
GOLDMAN SACHS & CO.	TRANSFEROR: ALDEN GLOBAL VALUE RECOVERY MASTER FUND, L.P., ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW YORK, NY 10282
HSBC PRIVATE BANK SUISSE SA	ATTN: JACQUES DUROUVENOZ, SECURITIES DEPARTMENT MANAGER, QUAI GENERAL-GUISAN 2, GENEVA 3 1211 SWITZERLAND
MRS. CARMEN ABELEIN	TRANSFEROR: CITIBANK PRIVATKUNDEN AG & CO. KGAA, KETTENGASSE 7, HEIDELBERG 69117 GERMANY
SEAPORT GLOBAL SECURITIES LLC	TRANSFEROR: FARALLON CAPITAL INSTITUTIONAL PARTNERS, LP, ATTN: JONATHAN SILVERMAN, 360 MADISON AVENUE, 22ND FLOOR, NEW YORK, NY 10017
SEAPORT GLOBAL SECURITIES LLC	TRANSFEROR: FARALLON CAPITAL INSTITUTIONAL PARTNERS III, LP, ATTN: JONATHAN SILVERMAN, 360 MADISON AVENUE, 22ND FLOOR, NEW YORK, NY 10017
SEAPORT GLOBAL SECURITIES LLC	TRANSFEROR: NOONDAY OFFSHORE, INC., ATTN: JONATHAN SILVERMAN, 360 MADISON AVENUE, 22ND FLOOR, NEW YORK, NY 10017
SEAPORT GLOBAL SECURITIES LLC	TRANSFEROR: FARALLON CAPITAL OFFSHORE INVESTORS II, LP, ATTN: JONATHAN SILVERMAN, 360 MADISON AVENUE, 22ND FLOOR, NEW YORK, NY 10017
SEAPORT GLOBAL SECURITIES LLC	TRANSFEROR: FARALLON CAPITAL INSTITUTIONAL PARTNERS II, LP, ATTN: JONATHAN SILVERMAN, 360 MADISON AVENUE, 22ND FLOOR, NEW YORK, NY 10017
SEAPORT GLOBAL SECURITIES LLC	TRANSFEROR: FARALLON CAPITAL PARTNERS, LP,, ATTN: JONATHAN SILVERMAN, 360 MADISON AVENUE, 22ND FLOOR, NEW YORK, NY 10017
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SEAPORT GLOBAL SECURITIES LLC	TRANSFEROR: FARALLON CAPITAL (AM) INVESTORS, L.P., ATTN: JONATHAN SILVERMAN, 360 MADISON AVENUE, 22ND FLOOR, NEW YORK, NY 10017
SEAPORT GLOBAL SECURITIES LLC	TRANSFEROR: FARALLON CAPITAL PARTNERS, LP,, ATTN: JONATHAN SILVERMAN, 360 MADISON AVENUE, 22ND FLOOR, NEW YORK, NY 10017
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SEAPORT GLOBAL SECURITIES LLC	TRANSFEROR: FARALLON CAPITAL OFFSHORE INVESTORS II, LP, ATTN: JONATHAN SILVERMAN, 360 MADISON AVENUE, 22ND FLOOR, NEW YORK, NY 10017
SEAPORT GLOBAL SECURITIES LLC	TRANSFEROR: FARALLON CAPITAL INSTITUTIONAL PARTNERS III, LP, ATTN: JONATHAN SILVERMAN, 360 MADISON AVENUE, 22ND FLOOR, NEW YORK, NY 10017
SEAPORT GLOBAL SECURITIES LLC	TRANSFEROR: NOONDAY OFFSHORE, INC., ATTN: JONATHAN SILVERMAN, 360 MADISON AVENUE, 22ND FLOOR, NEW YORK, NY 10017
SEAPORT GLOBAL SECURITIES LLC	TRANSFEROR: FARALLON CAPITAL PARTNERS, LP,, ATTN: JONATHAN SILVERMAN, 360 MADISON AVENUE, 22ND FLOOR, NEW YORK, NY 10017
SEAPORT GLOBAL SECURITIES LLC	TRANSFEROR: FARALLON CAPITAL OFFSHORE INVESTORS II, LP, ATTN: JONATHAN SILVERMAN, 360 MADISON AVENUE, 22ND FLOOR, NEW YORK, NY 10017
SEAPORT GLOBAL SECURITIES LLC	TRANSFEROR: FARALLON CAPITAL OFFSHORE INVESTORS II, LP, ATTN: JONATHAN SILVERMAN, 360 MADISON AVENUE, 22ND FLOOR, NEW YORK, NY 10017
SEAPORT GLOBAL SECURITIES LLC	TRANSFEROR: FARALLON CAPITAL INSTITUTIONAL PARTNERS, LP, ATTN: JONATHAN SILVERMAN, 360 MADISON AVENUE, 22ND FLOOR, NEW YORK, NY 10017
SEAPORT GLOBAL SECURITIES LLC	TRANSFEROR: FARALLON CAPITAL INSTITUTIONAL PARTNERS III, LP, ATTN: JONATHAN SILVERMAN, 360 MADISON AVENUE, 22ND FLOOR, NEW YORK, NY 10017
SEAPORT GLOBAL SECURITIES LLC	TRANSFEROR: FARALLON CAPITAL INSTITUTIONAL PARTNERS II, LP, ATTN: JONATHAN SILVERMAN, 360 MADISON AVENUE, 22ND FLOOR, NEW YORK, NY 10017
SEAPORT GLOBAL SECURITIES LLC	TRANSFEROR: NOONDAY OFFSHORE, INC., ATTN: JONATHAN SILVERMAN, 360 MADISON AVENUE, 22ND FLOOR, NEW YORK, NY 10017
SEAPORT GLOBAL SECURITIES LLC	TRANSFEROR: FARALLON CAPITAL (AM) INVESTORS, L.P., ATTN: JONATHAN SILVERMAN, 360 MADISON AVENUE, 22ND FLOOR, NEW YORK, NY 10017
UBS AG	TRANSFEROR: BANCA AKROS SPA, ATTN: MR. HUGO KOLLER, BAHNHOFSTRASSE 45, ZURICH 8001 SWITZERLAND
UBS AG	TRANSFEROR: FALCON PRIVATE BANK LTD, AS AGENT FOR ITS CUSTOMERS, ATTN: MR. HUGO KELLER, BAHNHOFSTRASSE 45, ZURICH 8001 SWITZERLAND
UBS AG	BAHNHOFSTR. 45, ATTN: HUGO KOLLER, ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, Q99C/O5GC, P.O. BOX, ZURICH 8098 SWITZERLAND
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: SEAPORT GLOBAL SECURITIES LLC, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: SEAPORT GLOBAL SECURITIES LLC, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: SEAPORT GLOBAL SECURITIES LLC, ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
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**SERVICE LIST**  
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**Total Creditor Count 234**